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17157 U.S. PTO

Attorney Docket No.: SAM-0560
PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICEMail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Kyoung-woo Lee
Soo-geun LeeFor (title): DUAL DAMASCENE
INTERCONNECTION WITH
METAL-INSULATOR-METAL
CAPACITOR AND METHOD OF
FABRICATING THE SAME1. **Type of Application** This new application is for
a(n)

- ☒ Original (nonprovisional)
- ☐ Design
- ☐ Plant
- ☐ Divisional.
- ☐ Continuation.
- ☐ Continuation-in-part (C-I-P).

2. **Benefit of Prior Application(s)**

- ☒ The new application being transmitted claims the benefit of prior Korean application
no. 03-21036. See Item 7.

3. **Papers Enclosed**

- 16 Pages of specification
- 5 Pages of claims
- 1 Page of Abstract
- 7 Sheets of drawings
- ☒ formal
- ☐ informal
- Page of Cover Sheet

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT
PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).

CERTIFICATE OF MAILING**37 C.F.R. § 1.10****"Express Mail" Mailing Label Number EV322657707US**I hereby certify that this paper or fee is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR 1.10 on the date indicated above
and is addressed to Mail Stop Patent Application, Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450Date: 3/12/04Christopher J. Hren
Christopher J. HrenCHRISTOPHER J. HREN
Print Name

4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 C.F.R. 1.98)
- ☐ Form PTO-1449 (PTO/SB/08A and 08B)
- ☐ Copies of cited references
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☒ Other: Return Postcard.

5. Declaration or oath

- ☒ Enclosed
 - ☐ Unexecuted
 - ☒ Executed by
 - ☒ inventors
 - ☐ legal representative of inventor(s).
37 CFR 1.42 or 1.43.
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
 - ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 12 below for fee.
- ☐ Not Enclosed
- ☐ Application is made by a person authorized under 37 C.F.R. 1.41 (c) on behalf of all the above named inventor(s).
 - ☐ Showing that the filing is authorized.

6. Assignment

- ☒ An assignment of the invention to Samsung Electronics Co., Ltd.
 - ☒ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☒ FORM PTO 1595 is also attached.
 - ☐ will follow.

7. **Certified Copy**

Certified copy(ies) of application(s)

<u>Korea</u>	<u>03-21036</u>	<u>April 3, 2003</u>
Country	Appln. no.	Filed
Country	Appln. no.	Filed
Country	Appln. no.	Filed

from which priority is claimed

- ☒ is (are) attached.
☐ will follow.

8. **Fee Calculation (37 C.F.R. 1.16)**

CLAIMS AS FILED					
	Number filed		Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) <u>\$770.00</u>
Total Claims (37 CFR 1.16(c))	29	- 20 =	9	\$ 18.00	\$162.00
Independent Claims (37 CFR 1.16(b))	2	- 3 =	0	\$ 86.00	
Multiple dependent claim(s), if any (37 CFR 1.16(d))			+	\$290.00	

- ☐ A Preliminary Amendment canceling claims is enclosed. The filing fee is calculated based on the number of claims remaining after entry of the Preliminary Amendment.
- ☐ Amendment deleting multiple-dependencies is enclosed.
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 932.00

9. Fee Payment Being Made at This Time

☐ Not Enclosed

☐ No filing fee is to be paid at this time.

(This and the surcharge required by 37 C.F.R. 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ Basic filing fee \$ 932.00

☒ Recording assignment

(\$40.00; 37 C.F.R. 1.21(h))

(See attached "COVER SHEET FOR ASSIGNMENT
ACCOMPANYING NEW APPLICATION".)

\$ 40.00

Total fees enclosed

\$ 972.00

10. Method of Payment of Fees

☒ Checks in the amounts of \$ 932.00 and \$ 40.00

☐ Charge Account No. 50-1798 in the amount of \$ _____
A duplicate of this transmittal is attached.

11. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees during the entire pendency of this application to Account No. 50-1798.

☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)

☒ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)

☐ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☐ 37 C.F.R. 1.17 (application processing fees)

☐ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

12. Instructions as to Overpayment

☒ Credit Account No. 50-1798

☐ Refund

Date:

March 12, 2004
MILLS & ONELLO LLP

Eleven Beacon Street, Suite 605

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J:\SAM\0560\pattransltr.wpd

Respectfully submitted,

Steven M. Mills

Steven M. Mills

Registration Number 36,610

Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

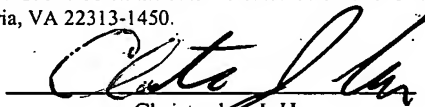
Applicant(s): Kyoung-woo Lee, *et al.*
Filing Date: Herewith
Title: DUAL DAMASCENE INTERCONNECTION WITH METAL-
INSULATOR-METAL CAPACITOR AND METHOD OF FABRICATING
THE SAME

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

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3/12/04

Date


Christopher J. Hren

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:


Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. New Application Transmittal;
2. New Patent Application;
3. Seven (7) Sheets of Formal Drawings (Figures 1-17);
4. Executed Declaration, Petition and Power of Attorney;
5. Certified Copy of Priority Document - Korean Application No. 03-21036;
6. Check in the amount of \$932.00 to cover application filing fee;
7. Assignment Recordation Form Cover Sheet - - PTO-1595;
8. Executed Assignment;
9. Check in the amount of \$40.00 to cover Assignment Recordation fee; and
10. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: March 12, 2004
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